



IPW

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)		Application No.	10/763,865
		Filing Date	January 22, 2004
		First Named Inventor	Brant L. Candelore
		Art Unit	2135
		Examiner Name	Song, Hosuk
Total Number of Pages in This Submission	7	Attorney Docket Number	80398P252C

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Reply  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 80px; width: 100%;"></div>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	William W. Schaal, Reg. No. 39,018 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	
Date	April 16, 2007

CERTIFICATE OF MAILING/TRANSMISSION			
I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			
Typed or printed name	Susan McFarlane		
Signature		Date	April 16, 2007

**FREE TRANSMITTAL  
for FY 2006**

Patent fees are subject to annual revision.

**Complete if Known**

Application Number	10/763,865
Filing Date	January 22, 2004
First Named Inventor	Brant L. Candelore
Examiner Name	Louis Jacques
Art Unit	2134
Attorney Docket No.	80398P252C

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** (\$) 180.00

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Charge fee(s) indicated below, except for the filing fee  
☐ Charge any additional fee(s) or underpayment of fee(s) ☒ Credit any overpayments  
under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

**FEE CALCULATION**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	180.00
1809	790	1809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
Other fee (specify) _____					
SUBTOTAL (2)					(180.00)

**SUBMITTED BY**

**Complete (if applicable)**

Name (Print/Type)	William W. Schaal	Registration No. (Attorney/Agent)	39,018	Telephone	(714) 557-3800
Signature		Date	04/16/07		



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

BRANT L. CANDELORE

Application No.: 10/763,865

Filed: January 22, 2004

For: **Method and Apparatus for Securing  
Control Words**

Art Group: 2134

Examiner: Louis Jacques

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed). This IDS and IDS Citation Form are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s).

04/24/2007 WASFAW1 00000010 022666 10763865  
01 FC:1806 180.00 DA

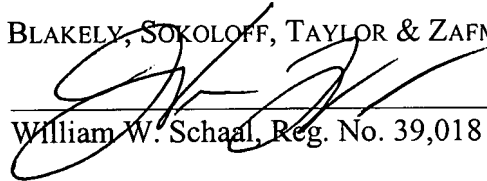
The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

The fee set in the amount of \$180.00 for submission of the Information Disclosure Statement is enclosed herewith. Please charge any additional fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: April 16, 2007

  
\_\_\_\_\_  
William W. Schaal, Reg. No. 39,018

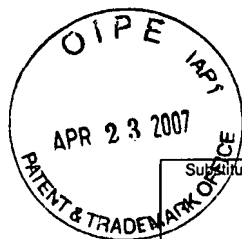
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\_\_\_\_\_  
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04-16-07

Date



Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

<b>Application Number</b>	10/763,865
<b>Filing Date</b>	January 22, 2004
<b>First Named Inventor</b>	Candelore, Brant
<b>Group Art Unit</b>	Song, Hosuk
<b>Examiner Name</b>	2135

Sheet 1 of 2

Attorney Docket No: 80398P252C

## **US PATENT DOCUMENTS**

Examiner Initial *	Cite No <sup>1</sup>	USP Document Number	Publication or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-20010036271	11/01/2001	Javed, Shoeb M.	
		US-2002/0094084	07/18/2002	Wasilewski, Anthony J., et al.	
		US-20020003881	01/10/2002	Reitmeier, Glenn A., et al.	
		US-20020021805	02/21/2002	Schumann, Robert W., et al.	
		US-20020090090	07/11/2002	Van Rijnsoever, Bartholomeus J., et al.	
		US-20020094084	07/18/2002	Wasilewski, Anthony H., et al.	
		US-20020116705	08/22/2002	Perlman, Stephen G., et al.	
		US-20020126890	09/12/2002	Katayama, Tatsushi	
		US-20020164022	11/07/2002	Strasser, David A., et al.	
		US-20030035540	02/20/2003	Freeman, Martin , et al.	
		US-20030035543	02/20/2003	Gillon, William M., et al.	
		US-20030059047	03/27/2003	Iwamura, Ryuichi	
		US-20030108199	06/12/2003	Pinder, Howard G., et al.	
		US-20040021764	02/05/2004	Driscoll, Jr., Edward C., et al.	
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		US-20040136532	07/15/2004	Pinder, Howard G., et al.	
		US-20040240668	12/02/2004	Bonan, James , et al.	
		US-20040267602	12/30/2004	Gaydos, Robert C., et al.	
		US-20050066357	03/24/2005	Ryal, Kim A.	

**EXAMINER**

**DATE CONSIDERED**

Based on PTO/SB/08A(09-08) - Substitute Disclosure Statement Form (PTO-1449) as modified by BSTZ 03/28/07

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 909. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>	Substitute for form 1449A/PTO	
	Complete if Known	
	Application Number	10/763,865
	Filing Date	January 22, 2004
	First Named Inventor	Candelore, Brant
	Group Art Unit	Song, Hosuk
	Examiner Name	2135
Sheet 2 of 2	Attorney Docket No: 80398P252C	

		US-20060136976	06/22/2006	Coupe, David , et al.	
		US-5,151,782	09/29/1992	Ferraro, Andrew G.	
		US-5,414,852	05/09/1995	Kramer, Paul H., et al.	
		US-5,526,427	06/11/1996	Thomas, William L., et al.	
		US-5,696,906	12/09/1997	Peters, J. M., et al.	
		US-5,726,702	03/10/1998	Hamaguchi, Masakazu , et al.	
		US-5,963,909	10/05/1999	Warren, Robert L., et al.	
		US-6,016,348	01/18/2000	Blatter, Harold , et al.	
		US-6,125,349	09/26/2000	Maher, David P.	
		US-6,134,237	10/17/2000	Brailean, Karen A., et al.	
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		US-6,424,717	07/23/2002	Pinder, Howard G., et al.	
		US-6,452,923	09/17/2002	Gerszberg, Irwin , et al.	
		US-6,526,144	02/25/2003	Markandey, Bishal , et al.	
		US-6,640,305	10/28/2003	Kocher, Paul C., et al.	
		US-6,714,650	03/30/2004	Maillard, Michel , et al.	
		US-6,788,882	09/07/2004	Geer, James L., et al.	
		US-6,834,110	12/21/2004	Marconcini, Franco , et al.	
		US-6,895,128	05/17/2005	Bohnenkamp, Sascha	
		US-6,917,684	07/12/2005	Tatebayashi, Makoto , et al.	
		US-6,938,162	08/30/2005	Nagai, Takahiro , et al.	
		US-7,039,802	05/02/2006	Eskicioglu, Ahmet M., et al.	
		US-7,065,213	06/20/2006	Pinder, Howard G.	
		US-7,127,619	10/24/2006	Unger, Robert A., et al.	
		US-7,146,007	12/05/2006	Maruo, Jun , et al.	
		US-US20020090090	07/11/2002	Van Rijnsoever, Bartholomeus J., et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Foreign Patent Document Country Code/Number/Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T <sup>2</sup>

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